

CCM SMARAGD

E-File: Standardized Editing and Audit-Proof Documentation

Do you still have an overview of your money laundering cases? Is it hard and time-consuming for you to do the research in lists for the current state of an information request by the prosecution? Media disruption and double entries rule your compliance processes? Wouldn't it be nice if the conduct of your compliance processes were supported by a system that solves all these problems? SMARAGD CCM is your solution to give you the decisive overview over your case management.

Motivation

A continuously increasing number of money laundering alerts, strict regulations and a high-cost pressure force banks and insurances to optimize and digitalize their processes. The compliance department has to master this challenge in an environment that is getting more and more complex and at the same time has to keep an eye on its actual tasks, the analysis of suspected cases. We set new standards with our solution SMARAGD CCM. We support compliance departments with an audit-proof documentation and process management of money laundering suspicion cases and information requests. SMARAGD CCM takes a lot of tasks off the compliance manager's shoulders and guides him through the case management with an intuitively comprehensible interface.

Goal

A centralized system in compliance with standardized processes can fall back on any already captured information from SMARAGD MDS, guides through the process with tasks that are stored in the system and offers the opportunity to collect all information on a suspected case in one place. Documents and e-mails are automatically filled in with the information that is stored in the case, then they will be sent and also automatically stored in the case. The person in charge is supported in the best possible way and therefore relieved.

Solution

SMARAGD CCM can be fully adapted to the data, processes, and the design of the customer's system. Thereby, an optimally configured solution for the operative compliance cases is created. The e-files that result from it, contain all information, and serve as audit-proof documentation.

Case Management for the Fulfillment of Compliance Requirements

With SMARAGD CCM you can create a workflow-based e-file for alle compliance topics. You help your compliance department to meet all requirements of the case management that are necessary for the fulfillment of the compliance-related topics.

Generation of the Go AML Notice

For the electronic transmission of the facts that have to be reported according to the anti-money laundering law in the reporting portal FIU, a Go AML notice in the XML format can be generated for each money laundering suspicion case. All information of the case is ready for selection.

targens is a professional service provider for banking, compliance and Digital Finance, as well as a leading provider of consulting, software and product solutions. Based on a professional consulting, high-performance products and the mastering of disruptive technologies, the consulting and software company supports its customers in their corporate management, trading activities, the protection of their business processes and the accelerated attainment of sales activity success.

CONFIGURABLE WORKFLOW

For each compliance topic an individual workflow is implemented within SMARAGD CCM. Each case goes through fixed states during its management that can differ according to the respective workflow. The following workflows are currently used by our customers.

- » Money laundering suspicion cases according to § 43 GWG (German Law)
- » Information requests by the prosecution
- » Financial sanctions
- » Fraud offenses
- » Financial market compliance
- » Etc.

Due to the depiction and configuration of the processes within the system a process safety and quality is created for the management. The error rate and the editing duration decrease. Longs can be detected easily in order to implement countermeasures.

SMARAGD

1,600 companies from all sectors in more than 50 countries rely on the compliance competence when it comes to counseling and implementation projects in conjunction with the marketleading software SMARAGD.

Your Contact
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